

P04-05 – CALA APPLICATION FOR ABBREVIATED ASSESSMENT

Revision #1.9

December 24, 2019



CALA
Trust, measured accurately

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1.0 INSTRUCTIONS

This application is to be used only by laboratories that are requesting an abbreviated assessment.

An abbreviated assessment is defined as a visit to extend the scope of accreditation outside the normal site assessment schedule. There are two categories of abbreviated assessments:

- a) Accelerated abbreviated assessment (AAB): The site visit will be scheduled for a date no later than thirty days following submission of a complete application (see Step 2, below, for the definition of a complete application).
- b) Abbreviated assessment (AB): There are no restrictions or guarantees on when the assessment will occur. The laboratory can indicate preferred dates and CALA will make every effort to accommodate this request.

Please use the following steps to complete the application.

Step 1: Review Laboratory Profile

Although this is not a full assessment, laboratories are asked to review their current Laboratory Identification, Laboratory Specifics and Scope of Accreditation to ensure that they are still current and accurate. This information can be downloaded from the CALA web-site (www.cala.ca/cala_directories.html) using the Directory login password provided by CALA. If any corrections are required, make them directly on the downloaded pages and include them in the application package.

Step 2: Prepare Documentation Required

The Analytical Method(s), supporting Procedures (work instructions) and Method Validation Data for all new appendices must be included with this application for the application to be considered complete. If deviations from the reference method are not included in the analytical method, they must be submitted, as well. All of these must be provided in **electronically**. Method validation records must include evidence that actual samples reflective of typical matrices have been analyzed in a typical run, to demonstrate that the method has been implemented as documented, and that the method is fit-for-purpose. The actual samples need not be client samples.

If a person familiar with the method is not available at the time of the site assessment, the method will not be assessed.

Step 3: Complete Section 2.0 Preferred Date of Assessment

In section 2.0, enter the preferred dates for an assessment.

Step 4: Complete Section 3.0 Terms and Conditions of Accreditation

Please note that the CALA terms and conditions that were signed by the applicant prior to their previous (re)assessment still apply. To review the terms and conditions, please see http://www.cala.ca/P04-01-Terms_and_Conditions.pdf

Step 5: Complete Annex 1: Scope of Testing Template

For every new method (appendix) to be added to the Scope of Accreditation, submit a completed Scope of Testing Template. Instructions for completion of the template are found in section 4.0.

If you need further assistance in completing the Scope of Testing, please contact a CALA Accreditation Officer.

Email: assessments@cala.ca

Phone: (613) 233-5300

Fax: (613) 233-5501

Step 6: Submit Your Application

Completed applications may be submitted by mail or by email. The application sections that must be included in the application are:

Section 2;

Section 3;

Annex 1: Scope of Testing Template(s). One for each new appendix;

Test method (and any supporting work instructions); and,

Method validation.

If there are changes to the existing scope, laboratory contact information or laboratory specifics, include these as well. For an estimate on the length of time to complete the process, please refer to A125 – *CALA Accreditation Program Target Timelines*.

Send your completed application to:

CALA
Attention: Program Administrator
102-2934 Baseline Road
Ottawa, ON K2H 1B2

Telephone: (613) 233-5300
Fax: (613) 233-5501
Email: programadmin@cala.ca

2.0 PREFERRED DATE OF ASSESSMENT

Indicate below the type of abbreviated assessment that you are requesting.

- Accelerated Abbreviated Assessment: The site visit will be scheduled for a date no later than thirty days following submission of a complete application.
- Abbreviated Assessment: CALA will attempt to schedule the assessment as per the date(s) indicated below.

Provide the preferred date for the abbreviated assessment. _____.

3.0 TERMS AND CONDITIONS OF ACCREDITATION

Name of Laboratory

CALA File No.

As an Authorized Representative* of this laboratory, I agree to the general terms and conditions found in Section 1.1 of P04-01 – *Terms and Conditions of Accreditation*.

- This laboratory is licensed or applying for a license under the Ontario Safe Drinking Water Act (OSDWA), and I agree to terms and conditions found in Section 1.2, P04-01.
- This laboratory conducts testing for legislation enforced by the Canadian Food Inspection Agency (CFIA), and I agree to terms and conditions found in Section 1.3, P04-01.

Authorized Representative *

Signature

Title

Date

DD/MM/YY

* An Authorized Representative must have the authority to bind the corporation.

4.0 COMPLETING THE SCOPE OF TESTING TEMPLATE

A separate scope of testing template is required for each method (appendix) for which the laboratory is seeking accreditation. If the applicant is using CALA PT to support all or part of its PT requirements, a separate PT application is not required. This information will be obtained from the submitted Scope of Testing Templates.

Use the attached *Scope of Testing Template* to prepare an analytical Scope of Testing. Each method (appendix) requires a separate page. Make sufficient photocopies of the template and sequentially number all submitted template pages.

4.1 Explanation of Terms on Scope of Testing Template

In completing a Scope of Testing Template, use the following definitions to provide the required summary information:

Analyte: The parameter that is the quantified output of the method (e.g., Phosphorus, Dichloromethane, etc.).

Analytical Technique: Measurement method (e.g., AA, graphite AA, cold vapor AA, flame emission, ICP/MS, ICP, GC/MS, GC/ECD, GC, HPLC, SIE, IC, colorimetric, auto-color, gravimetric, titrimetric, acute lethality, membrane filtration, etc.). For microbiology tests, the analytical technique is further defined by media type (e.g., membrane filtration (mEndo)).

Appendix: A unique matrix-test method combination that may contain more than one analyte. If the appendix is done outside the scope of the main laboratory (e.g., a field test, mobile unit, etc.), it is considered as a separate appendix. Each mobile unit is considered as a separate unit.

Field of Accreditation: A broad category of accreditation generally differentiated by required expertise (e.g., environmental, mineral, petroleum, food, etc...).

Matrix: A substance or material analyzed for the target analyte. Typical matrices include: (i) water, including fresh water (may include drinking water, ground water, surface water, and precipitation), marine water, and waste water (may include industrial effluent, municipal effluent and process water), (ii) soil, including sediment, (iii) plant tissue, (iv) animal tissue, (v) specific solid or liquid wastes (e.g., oils, sludges, etc.), (vi) airborne materials (in air emissions, the ambient air or workplace), collected by filter or other means, (vii) minerals, rocks, tailings, etc...

Commonly used matrices are: water; fresh water; wastewater; biological tissue; plant tissue; animal tissue; soil; sediment; air filters; charcoal tubes; and waste oil.

Method Reference: Agency or journal method reference abbreviated to the maximum extent possible (e.g., ASTM D1067-70B, EPA 310.1, SM 403, BC MOE D047A007, Anal. Chem 64, 371 (1192), NAQUADAT 19105, etc.). If the method employed by the applicant has been modified from the reference method, include this clarification (e.g., Modified from EPA 624).

OSDWA Check Box: Check this box if you are seeking licensing under the Ontario Safe Drinking Water Act.

Proficiency Testing Option: Please refer to P02-03 - CALA *Proficiency Testing Policy for Accreditation*.

PT Provider: This is the name of the PT Provider that will be used to support the applicant's PT requirements.

Sample Preparation: All procedures such as purging, aeration, pH adjustment, extraction, clean-up, digestion, distillation, etc. carried out on samples (or standards) prior to analysis.

Test Method: Defined, as appropriate, in terms of analytical technique and sample preparation. When sample preparation plays a defining role in recovery, please specify. Examples of analytical technique/sample preparation combinations include ICP - digestion, GC/MS - extraction, Colorimetric - distillation, Hydride AA - digestion, etc.

Test Method I.D.: Unique laboratory I.D. assigned to a test method as part of laboratory document control.

4.2 Laboratories Performing Drinking-Water Testing in Ontario

Laboratories intending to test Ontario drinking water samples must apply to the Ontario Ministry of the Environment, Conservation and Parks (MECP) for a license, and ensure that accredited methods are in the *Protocol of Accepted Drinking Water Testing Methods* (https://files.ontario.ca/protocol_of_accepted_drinking_water_testing_methods.pdf) or are approved by the MECP Director;

Check off the box labeled OSDWA on the Scope of Testing template (Annex 1).

For questions relating to the licensing program, please contact the MECP Laboratory Licensing Administrator.

4.3 Specific Notes

CCME Reference Method for Total Petroleum Hydrocarbons in Soil: Please note the following:

- If the reference method is following exactly, indicate *CCME* in the method reference field;
- If all the prescriptive elements are followed and the listed performance-based choices are validated according to the criteria in Appendix 2 and the performance meets the objectives in Section 8, indicate *CCME* in the method reference field; and,
- If any prescriptive elements are modified, CCME reference cannot be used at all in the method reference field.

If analyzing for petroleum hydrocarbons in water and there is a regulatory or customer requirement to use the fractions in the CCME method, the reference can be listed as “modified from CCME”.

4.4 Proficiency Testing

If option i or option ii Proficiency Testing (PT) is chosen, the CALA Directory will be set up so that the laboratory can immediately enter any option i or option ii PT study results (unless there is an agreement with the PT provider to automatically transfer PT results to the CALA database). It is incumbent upon the laboratory to do this in a timely manner, as satisfactory PT must be demonstrated before granting of accreditation and not entering the PT results may delay accreditation.

4.5 Food Testing

Many laboratories in Canada conduct testing for legislation that is enforced by the Canadian Food Inspection Agency (CFIA). Accreditation of testing in these laboratories is governed by the *Agreement Between the CFIA and CALA for the Accreditation of Testing Laboratories*, which came into effect on February 01, 2012. Under this agreement, the CFIA recognizes CALA as an Accreditation Body for Accreditation of Laboratories conducting analyses and tests in all technical fields related to food, feed and fertilizer as per the appropriate Legislation enforced by the CFIA. The responsibilities of each organization are detailed in the Agreement. If applying for accreditation for a test that falls under the CFIA legislation, please note the following:

Field of Accreditation – List “Food”

Appendix Name – List the main analyte or group of analytes (e.g., *Salmonella*, Pesticides, Coliforms, etc...).

Matrix – List the types of foods (submatrices) that are tested for legislation under CFIA in the laboratory (e.g., meat, eggs, poultry). Also, please list any exclusions (e.g., Milk (excluding Pasteurized Milk)). If there is not enough room in this field, simply note these matrices somewhere else on the page with a clear indication as to what they are, so that they are not confused with analytes. Food Appendices must include at least one submatrix (i.e., labs cannot only list “Food” for the matrix and submatrix).

Test Method – List the main analytical method (e.g., Direct Plating).

Method Reference – List the reference method (e.g., MFLP-58). If the method is followed exactly, do not check the box that says “Modified from”; if this box is checked, the scope listing will say “Modified from MFHPB20”. Note, in cases where there are modifications to the reference method, it is required that the laboratory have a document on file listing the modifications from the reference method (please refer to A12 – CALA Policy on Reference Methods).

Test Method I.D. – List the laboratory’s internal document control number for the method.

Analytes – List the analytes; an appendix may have one (1) analyte (e.g., *Aeromonas hydrophila*) or several (e.g., a list of pesticides).

NOTE: One reference method may result in two or more appendices (e.g., Pesticides in Meat using GC/MS and Pesticides in Meat using GC/FID).

Proficiency Testing Option – Refer to P02-03 CALA Program Description – Proficiency Testing Policy for Accreditation for guidance on proficiency testing requirements. Circle the option that is applicable for the analyte.

PT Provider – Document the name of the PT provider.

Example Scope Listing

Appendix 001 – Salmonella – Food [Milk Powder, Egg, Cheese, Butter, Evaporated Milk, Meat]

Method: Spread Plate

Reference Method: MFHPB20

Lab ID: SOP 123

Analyte(s):

Salmonella

A field of accreditation is a broad category of accreditation, generally defined by required expertise. For example, while some assessors may have expertise to assess both environmental and food testing, some assessors may not have the experience or credentials

to assess both types of testing. Practically speaking, this means that two assessors may have to be assigned to cover a proposed scope of testing even if the laboratory is fairly small. It's not unusual that different fields of accreditation have slightly different procedures or require specialized policies or application of the standard, simply due to the nature of the testing.

ANNEX 1: Scope of Testing Template

(Also available in Word format on the CALA Web site www.cala.ca)

GREY BOXED AREAS FOR CALA USE ONLY

<input type="checkbox"/> Check and identify if laboratory address is different than "Location of Facility" identified in Section 2.0.		Template ID			
Facility Name: _____					
CALA File No. (existing members only)	Field of Accreditation (e.g. Environmental, Mineral, Petroleum, Food)	Page	of		
Appendix Number	Appendix Name (e.g., VOCs)				
Matrix (e.g., Water, Solids, Oil, Food etc.)	Matrix	Test Method (e.g., Purge and Trap/GCMS)			
Method Reference (e.g., EPA 6024) <input type="checkbox"/> "modified from"	Test Method I.D. (e.g., SOP 101.2)				
Analytes	<small>OSDWA</small> Proficiency Testing Option (circle one)	PT Provider	Analytes	<small>OSDWA</small> Proficiency Testing Option (circle one)	PT Provider
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
	<input type="checkbox"/> i, ii, iv, v, vi			<input type="checkbox"/> i, ii, iv, v, vi	
<p>Note: (1) if applying for a long list of analytes, a template is available at http://www.cala.ca/excel-analyte (2) when completing the Matrix area, please reference the Matrix and Sub-Matrix Guide http://www.cala.ca/A136</p> <p>Instructions: Ensure that ALL requested information is complete and accurate. When providing the requested information, refer to the instructions in the specific CALA application and use the following guidelines:</p> <ol style="list-style-type: none"> Appendix Identification: Analytes having a unique matrix – test method combination must be assigned to separate appendices (i.e., separate pages of the template). Analytes: An appendix may contain one or more applicable analytes. Method Ref: Add the words <i>modified from</i> if your method does not follow the Method Reference exactly. Proficiency Testing Option: refer to P02-03-CALA Program Description-Proficiency Testing Policy for Accreditation. NOTE: By choosing PT Canada as a PT provider, your application will be automatically forwarded to PT Canada. 					